

Schottky Barrier Characteristics and Carrier Transport Mechanism for Ohmic Contacts to Strained p-Type InGaN/GaN Superlattice

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We report on the metal work function dependence on Schottky barrier characteristics and the carrier transport mechanism for ohmic contacts to strained p-InGaN/GaN superlattices. Measurements showed that specific contact resistances are dependent on metal work functions, whereas Schottky barrier heights exhibit relatively little dependency on metal work functions. These indicate that the overall contact properties are directly affected by polarization-induced carriers near the surface of the strained InGaN. The carrier conduction mechanism for the contacts to the InGaN/GaN is described in terms of tunneling or compensation effect, depending on temperatures.

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Low resistance ohmic contacts are essential to achieve the high performance of GaN-based devices. In particular, for p-type GaN, due to the absence of metals having a work function larger than 4 eV and the difficulty of obtaining high carrier concentrations (10^{18} cm^{-3}), it was difficult to achieve ohmic contacts to p-GaN using a specific contact resistance less than $10^{-4} \Omega \text{ cm}^2$.¹ Among reported various approaches to realize low specific contact resistances to p-GaN,²⁻¹⁰ a method using strain-induced polarization layers such as p-type AlGaIn/p-GaN superlattice (SL) and p-InGaIn/p-GaN is very effective in improving p-ohmic properties.⁷⁻⁹ Strain-induced piezoelectric effect (PE) as well as spontaneous polarization in the polarization field-induced (PFI) layer give rise to a high carrier density near the sample surface by forming a two-dimensional hole gas. In these schemes having PE and SP, high concentrations (10^{18} cm^{-3}) increase a hole-tunneling probability at the interface between contact schemes and strained layers, and hence, result in low specific contact resistance.^{7,9,10} Recently, indium tin oxide (ITO) contacts to strained p-InGaIn/GaN having excellent thermal stability as well as low specific contact resistance had been successfully demonstrated.¹¹ Annealing leads to the formation of In-Ga-O (IGO) (at the interface), acting as a diffusion barrier able to prevent the formation of nitrogen vacancies and the indiffusion of Sn atoms into InGaIn/GaN, which are detrimental to the formation of p-type ohmic contact.

However, detailed studies of Schottky barrier characteristics and carrier transport mechanisms for ohmic contacts to strained p-type InGaIn/p-GaN SLs have not extensively hitherto performed despite their technological importance. In this article, we have investigated the Schottky barrier height (SBH) dependence on the metal work function and specific contact resistance. Possible carrier transport mechanisms of ohmic contacts to the InGaIn/GaN have also been investigated using the relation between specific contact resistance and temperature. The overall contact-performance characteristics are considerably dependent on PFI carriers near the InGaIn surface instead of different metal work functions. Also, there is a wide temperature range within which temperature-independent tunneling transport dominates.

A metallorganic chemical vapor deposition system was used to grow a 2 μm thick, unintentionally doped GaN layer on a 30 nm thick GaN nucleation layer/(0001) sapphire substrate. This was followed by the growth of 100 nm thick p-GaN:Mg and then a 3 nm thick p-type In_{0.15}Ga_{0.85}N layer/a 3 nm thick p-GaN/a 3 nm thick In_{0.15}Ga_{0.85}N layer were grown on p-GaN. Secondary-ion mass spectroscopy (SIMS) measurement showed that the Mg concentration of both p-GaN and p-InGaIn was $1.2 \times 10^{19} \text{ cm}^{-3}$. Before the

fabrication of circular transmission line model (CTLM) patterns, the surface of the InGaIn/GaN layer was ultrasonically degreased with acetone, methanol, and ethanol for 5 min in each step, and then rinsed with deionized water. The CTLM patterns were defined on the surface-treated InGaIn/GaN using a photolithographic technique. The inner radius of the CTLM pad was 110 μm and the spacings between the inner and outer pads were 5, 10, 15, 20, 25, and 35 μm . Before the deposition of metal films, the CTLM-patterned layers were dipped into a buffered oxide etch solution for 60 s. Ti, Cr, Ni, and Pt were then deposited by an electron-beam evaporation. Specific contact resistances (R_{sc}) and specific contact resistance-temperature (R_{sc} - T) were obtained using a semiconductor analyzer (HP 4155A) and a hot chuck system.

For the contacts to polarization field-free (PFF) p-InGaIn/GaN, SBH ($q\Phi_B$) can be basically obtained using the relation given by¹²

$$q\Phi_B = E_{g,\text{InGaIn}} - q(\Phi_M - \chi_{\text{InGaIn}}) \quad [1]$$

where $q\Phi_M$ is the metal work function, E_g is the bandgap energy, and χ is the electron affinity. The ternary bandgap energy and affinity of the InGaIn capping layer can be obtained using equations given as¹²

$$E_{g,\text{In}_x\text{Ga}_{1-x}\text{N}} = xE_{g,\text{InN}} + (1-x)E_{g,\text{GaN}} - bx(1-x) \quad [2]$$

$$\chi_{\text{In}_x\text{Ga}_{1-x}\text{N}} = x\chi_{\text{InN}} + (1-x)\chi_{\text{GaN}} \quad [3]$$

where the E_g of InN and GaN is 0.65 and 3.3 eV at 300 K, respectively.¹² The b is the bowing parameter of 1.4 eV.¹² Using the relations 1-3, the SBHs of Ti, Cr, Ni, and Pt contacts on the p-InGaIn/GaN were calculated to be 1.95, 1.75, 1.15, and 0.65 eV, respectively.

SBHs in the PFI InGaIn/GaN can be obtained using the relation between specific contact resistance (R_{sc}), SBH($q\Phi_B$), and the minimum thickness of InGaIn (d_{min}), which is given as¹³

$$R_{sc}^{-1} = \left(\frac{q}{2\pi\hbar d_{\text{min}}} \right)^2 (\hbar + d_{\text{min}} \sqrt{qm_h^* \Phi_B}) \exp\left(-\frac{2d_{\text{min}} \sqrt{qm_h^* \Phi_B}}{\hbar} \right) \quad [4]$$

where \hbar is the Planck constant ($\hbar/2\pi$), m_h^* is the effective hole mass, N_s^{2D} is the surface charge density, and E is the electric field. d_{min}^{2D} ($=\Phi_B/E = q\Phi_B/qN_s^{2D}$), the minimum thickness of the PFI InGaIn, is defined as a critical thickness below which there will be no hole accumulation. At the minimum thickness, tunneling transport across the Schottky barrier occurs when a potential drop in the InGaIn is equal to or larger than the SBH.⁷ Specific contact resistance (R_{sc}) was determined from a plot of the measured resistances vs spacings between CTLM pads. The CTLM method was used to fit a log-scaled line to the experimental data.¹⁴ The calculations showed

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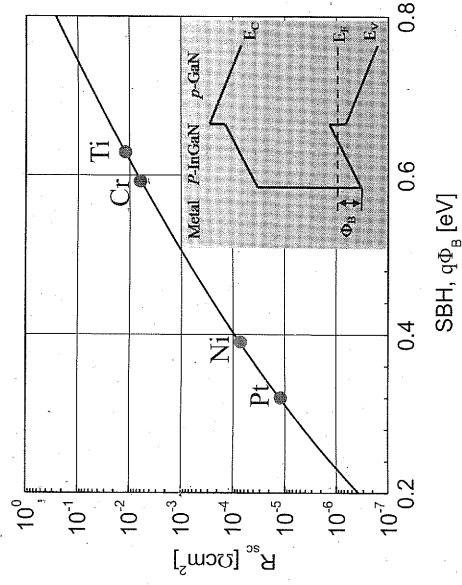


Figure 1. (Color online) Relation between specific contact resistance (R_{sc}) and SBH. In this calculation, d_{min} is 3 nm. The solid line means a theoretical result using Eq. 4. The dark circles denote the R_{sc} obtained from the CTLM method. Inset shows the possible energy band diagram at the interface between metals and p-InGaN/p-GaN.

that the R_{sc} is obtained to be $1.1(\pm 0.1) \times 10^{-2}$, $8.6(\pm 0.2) \times 10^{-3}$, $8.5(\pm 0.2) \times 10^{-5}$, and $1.3(\pm 0.2) \times 10^{-5} \Omega \text{ cm}^2$ for the Ti, Cr, Ni, and Pt contacts, respectively. Using Eq. 4, the SBHs for the Ti, Cr, Ni, and Pt contacts were determined to be $0.63(\pm 0.01)$, $0.61(\pm 0.01)$, $0.39(\pm 0.01)$, and $0.31(\pm 0.01)$ eV, respectively, as shown in Fig. 1. The R_{sc} of the PFI InGaN/GaN contact is sensitively dependent on the $q\Phi_B$.

Comparisons show that the SBHs of the PFI InGaN/GaN contacts are lower than those of the PFF contacts. To understand it in detail, the $\Delta\Phi_B/\Delta\Phi_M$ factor describing metal work function dependence on SBH was introduced, as shown in Fig. 2. The theoretical $\Delta\Phi_B/\Delta\Phi_M$ value of the PFF InGaN/GaN contact is ~ 1 , whereas that of the PFI contact is $\sim 0.26(\pm 0.02)$. The large difference could be due to the strain-induced PE and SP. The polarization effects in the strained InGaN/GaN produce free polarization-induced charges near the surface. The increase of the surface carrier density yields the reduction of energy band bending at the interface between metals and SLs, and consequently reduces both SBHs and contact resistivity. If we also consider the fact that a p-InGaN/GaN layer suffers from the surface Fermi-level pinning effect originating from the existence of surface defects of p-InGaN/p-GaN,¹² therefore, little

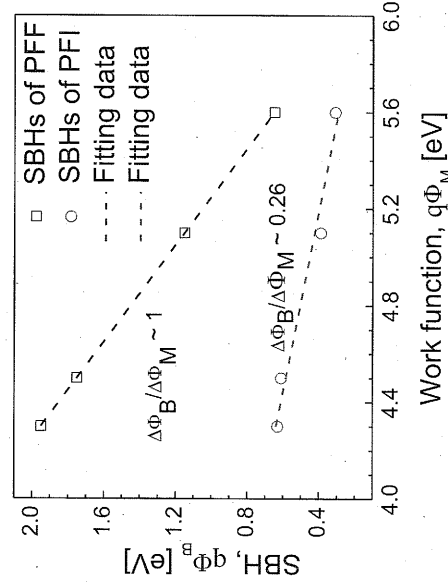


Figure 2. (Color online) Relation between SBH and metal work function. The ratio of $\Delta\Phi_B/\Delta\Phi_M$ was determined by a linear regression fitting.

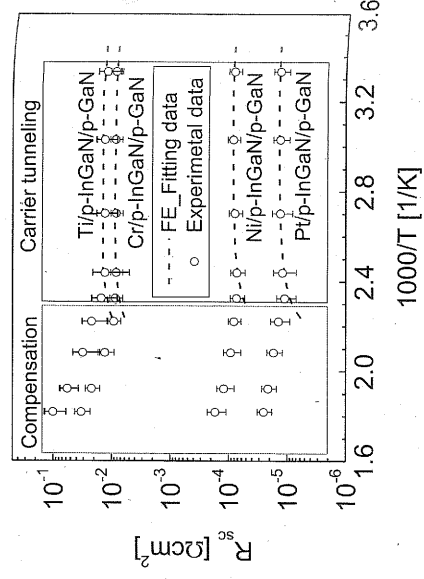


Figure 3. (Color online) Plots of the experimental and theoretical values of R_{sc} as a function of $1/T$ using a metal/p⁺-InGaN/p-GaN model.

dependence of metal work function on the barrier height at the interface may be due to the polarization and the surface Fermi-level pinning effect.

To investigate the carrier transport mechanism at the interface, metal/p⁺-InGaN (a region with p-InGaN/GaN SLs)/p-GaN model based on field emission (FE) mode¹⁵ was employed. Basically, carrier distribution function in the single-strained InGaN-GaN SL is similar to the delta function. However, our scheme consists of two p-InGaN and GaN layers and the SIMS depth profiling (not shown here) exhibited the maximum value and exponentially decaying relation in the SL region. Thus, the carrier distribution in p⁺-InGaN is assumed to be $N_{a,supersat}(x) = N_0 \exp(-x/d)$. The carrier distribution given by¹⁵

$$N_{\text{total}} = \frac{\int_0^d N_{a,supersat}(x) dx + \int_0^d N_{a,p-GaN}(x) dx}{\text{Total thickness}(t)} \quad [5]$$

where d is the effective thickness of p⁺-InGaN and $N_{a,p-GaN}$ is the carrier concentration of p-GaN (from d to t_{p-GaN}). In this model, d was assumed to be the total SL thickness of 9 nm. Using Eq. 6 below, tunneling parameter (E_{00}) can be defined as $E_{00} = qh/4\pi\sqrt{N_{\text{total}}/m_h\epsilon_s}$. The relation of FE mode is given by¹⁵

$$R_{sc} = \frac{k}{qA^{**}} \exp\left(\frac{q\Phi_B}{E_{00}}\right) \left[\frac{\pi T}{\sin(\pi kTC)} \right]^{-1} \exp(-qV_p C) \quad [6]$$

for FE conduction

$$C = \ln[4\Phi_B V_p / (2E_{00})] \quad [7]$$

where A^{**} is Richardson's constant, k is Boltzmann's constant, h is Planck's constant, m^* is the effective mass of the semiconductor, V_p is temperature, ϵ_s is the dielectric constant of the semiconductor, V_F is the energy difference of $E_F - E_V$. In this simulation (assuming a In composition of 15%), m_h of $0.84m_e$, ϵ_s of $10.8\epsilon_0$, and A^{**} of $120\alpha \text{ A cm}^{-2} \text{ K}^{-2}$ were used.¹² The α , an empirical factor, can be experimentally obtained using the thermionic emission model based on the current-voltage-temperature relation.¹⁶ Figure 3 exhibits the plots of $1/T$ using a metal/p⁺-InGaN/p-GaN model. Comparison show that the theoretical results agree well with the experimental data, indicating that the hole flow is due to hole tunneling at $290 \leq T \leq 440 \text{ K}$ and our modeling is valid. This behavior can be due to the SP and PE in p-InGaN-GaN SLs, which cause high accumulated carriers near the surface. The high density of the polarization induced charges leads to a decrease of Schottky barrier width and hence, allows a hole-tunneling transport through the Schottky barrier. Thus, the FE conduction is dominant, irrespective of the differ-

metal work function. These behaviors are fundamentally different from the reported results of p-GaN ohmic contacts, showing somewhat thermionic emission-like behaviors due to the low carrier concentration of p-GaN.^{16,17} However, the R_{sc} in all contacts begins to increase at $T > 440$ K, indicating that all contacts suffer from thermal degradation. In particular, the degradation in the Ti and Cr contacts becomes severe compared to others. These behaviors may be related to the carrier compensation effect and interfacial reaction at the interface between metal and p-SLs. Generally, surface defects in the p-InGaN of the SLs occupy a relatively deep energy state, meaning that normal carriers experience some scattering and/or trapping with increasing T . In addition, metal-nitrides such as Ti-N and Cr-N (in Ti- and Cr-based metallization schemes), which are detrimental to p-ohmic contacts,¹¹ are thermodynamically formed at the surface because Ti-N and Cr-N phases even at room temperature have a negative enthalpy of -169 and -62 kJ/mole, respectively.¹⁸ The formation of metal-nitrides brings about a generation of nitrogen vacancies (acting as a donor) in the p-InGaN and enhances carrier compensation. Therefore, the carrier compensation and/or interfacial reaction may be responsible for an increased R_{sc} at $T > 440$ K.

In summary, we report on Schottky barrier characteristics and carrier transport mechanism at the interface between strained GaN/GaN SLs and various metals. Specific contact resistances are dependent on metal work functions, whereas SBHs exhibit relative independence on metal work functions. These indicate that the ϕ_{B0} dependency on metal work functions is directly affected by polarization-induced free carriers near the surface of the strained InGaN. The carrier transport is closely related to tunneling or compensation effect, depending on temperatures. Our findings may serve as a design tool for the formation of p-ohmic electrodes for GaN-based light emitting diodes.

$$n = (\text{cm}^{-3}) \quad [5]$$

$N_{a-p-GaN}$ is the donor concentration in this model. Using Eq. (5) and Eq. (6), n can be determined by¹⁵

$$n = \frac{N_{a-p-GaN}}{1 + \exp(\frac{E_{sc}}{kT})} \quad [6]$$

$$n = \frac{N_{a-p-GaN}}{1 + \exp(\frac{E_{sc}}{kT})} \quad [7]$$

where k is Boltzmann's constant, h is Planck's constant, n is the carrier concentration in the semiconductor, V_{oc} is the open-circuit voltage, V_{sc} is the short-circuit voltage, A is the ideality factor, and A^{**} is the effective ideality factor. The carrier concentration n in model based on Eq. (7) exhibits the same behavior as R_{sc} as a function of temperature. Comparison of experimental results with the model based on Eq. (7) shows that the model can be used for high accuracy. The model also shows that the polarization-induced free carrier width and the Schottky barrier height are the difference of the different

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